



Serial No.: 09/526,600

PATENT
RCA 89,602

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Thomas Patrick Newberry, et al.

Serial No. : 09/526,600

Filed : March 16, 2000

For : PROGRAM GUIDE INFORMATION AND PROCESSOR FOR
PROVIDING PROGRAM AND CHANNEL SUBSTITUTION

Art Unit : 2739

INFORMATION DISCLOSURE STATEMENT

[X] 1 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]

[] 2 Certification Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]

[] 3 Fee Payment Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]

[] 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)
[before issue fee payment]

Hon. Commissioner for Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above-identified application in
compliance with 37 CFR 1.97 & 1.98:

[X] 5 A list of documents on form PTO-1449 together with copies of each
identified document and a translation or a concise explanation of
each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

[X] 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]

[] 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever
is earlier]; and

[X] 8 The required certification made in item 11 below; or

[X] 9 The \$20.00 fee specified in 37 CFR §1.17(p) for submission
of this Information Disclosure Statement is authorized in
item 14 below.

[] 10 37 CFR§ 1.97(d): [before issue fee payment]; and

(a) This is a petition for consideration of the subject Information
Disclosure Statement. The petition fee (\$130.00) required by

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37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., "Allowed Files, Batch D67, Date of Allowance: 08/30/96"), and

(b) The required Certification is stated in item 11 below.

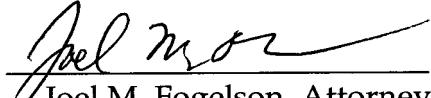
[X] 11 Certification

[X] 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

[] 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

[X] 14 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,
THOMAS PATRICK NEWBERRY, et al.

BY: 
Joel M. Fogelson, Attorney
Registration No. 43,613
(609) 734-9534

Thomson Multimedia Licensing Inc.
Patent Operations
P. O. Box 5312
Princeton, New Jersey 08543-5312

January 5, 2001

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a postage paid envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date indicated below.

Date: January 5, 2001 Signature 